



Methods and Results

from the Team BIT&ITNS

Team Members:

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Shaozhao Lu, Qian Xiao, Ao Shen, Tiantian Zhang, Ji Ye, Zechuan Tian

1 Preliminary analysis

2 Design the Dyson Ring elements

3 Design the flyby sequence

4 Design the departure time

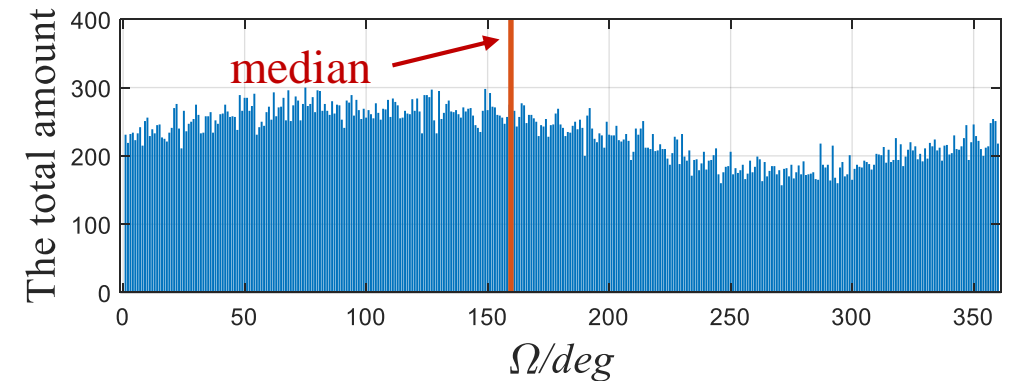
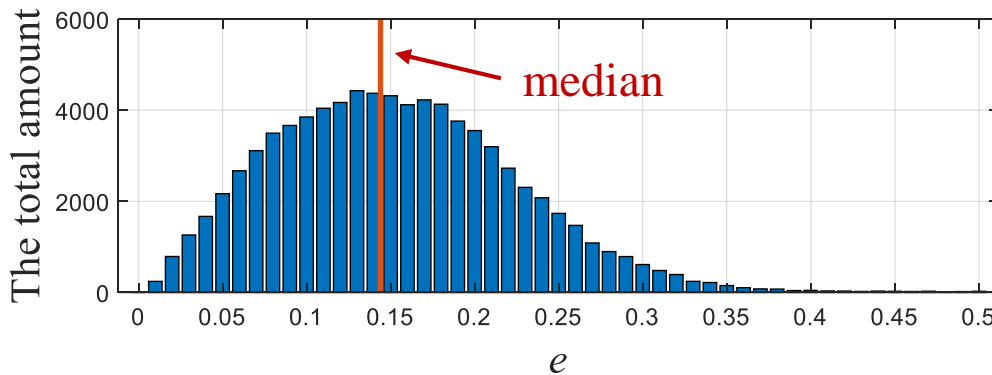
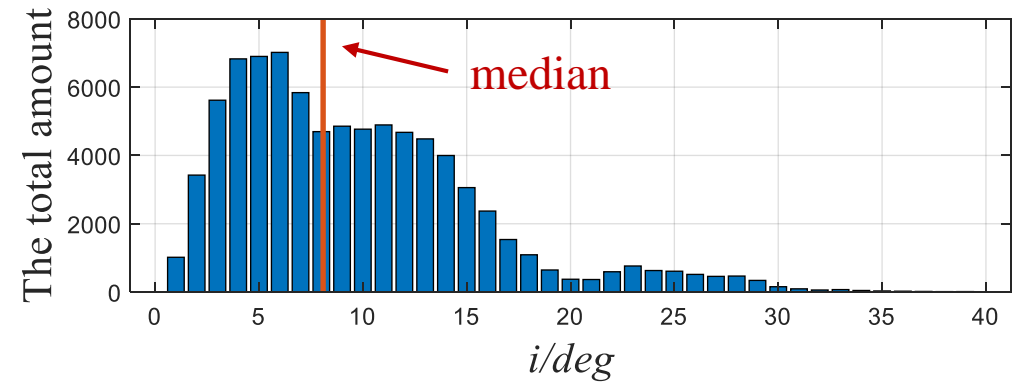
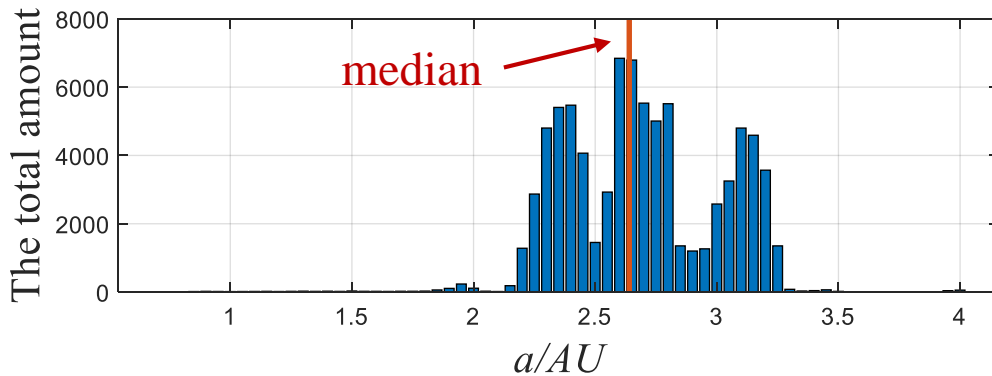
5 Conclusions

1 Preliminary analysis



Candidate analysis

- The **semi-major** axis is concentrated in the range of 2.2-3.3.
- The **eccentricity** is concentrated in the range of 0-0.3.
- The **inclination** is concentrated in the range of 0-20°.

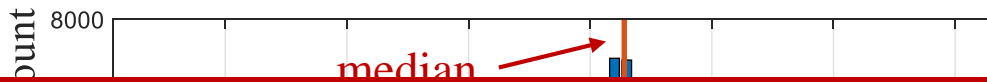


1 Preliminary analysis

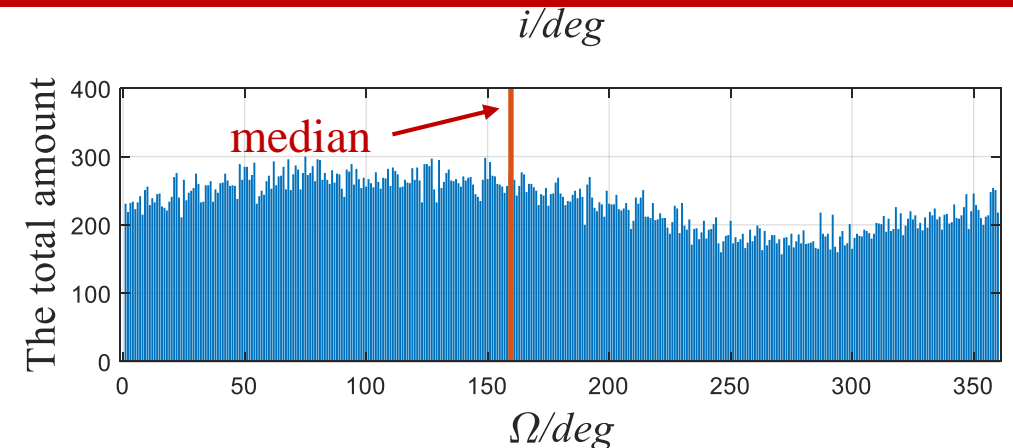
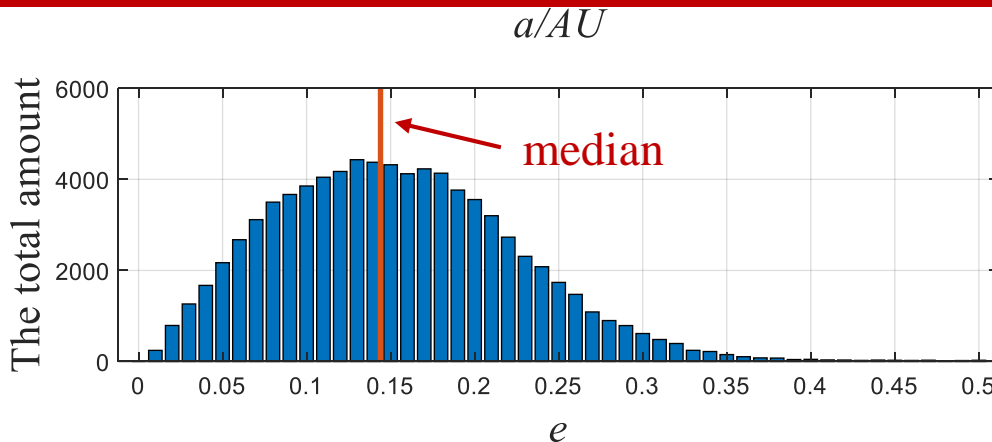


Candidate analysis

- The **semi-major** axis is concentrated in the range of 2.2-3.3.
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It is difficult to determine the parameters of Dyson Ring from the distribution of the candidates' orbital elements



1 Preliminary analysis



Performance index analysis

$$J = B \cdot \frac{10^{-10} \cdot M_{\min}}{a_{Dyson}^2 \sum_{k=1}^{10} \left(1 + \Delta V_k^{Total} / 50\right)^2}$$

- Design the Dyson Ring orbit elements.

Semi-major axis? inclination?

- Minimize the sum of ΔV .

Mother Ship flyby sequence? Local optimization method?

- Maximize M_{\min} , $M_{\min} = \min \{M_j | j = 1, 2, \dots, 12\}$.

Asteroid departure epoch? Station building time?

Design the Dyson Ring
orbit elements

Design the mothership
flyby sequence

Design the asteroid
departure epoch

2 Design the Dyson Ring elements



Grid search

$$a = 1.0 \sim 1.4 \text{ AU}, \quad i = 0 \sim 14^\circ$$

Free phase time-optimal
problem for each asteroid



Choose a, i according to
the characteristic mass

Indirect Trajectory Optimization Using Modified Equinoctial Elements

MEE equation $\mathbf{x} = \mathbf{M} \frac{T}{m} \boldsymbol{\alpha} + \mathbf{D}$

Hamiltonian $H = \boldsymbol{\lambda}^T \left(\mathbf{M} \frac{T}{m} \boldsymbol{\alpha} + \mathbf{D} \right)$

Costate equation $\boldsymbol{\lambda} = -\frac{\partial H}{\partial \mathbf{x}} = -\left(\boldsymbol{\lambda}^T \frac{\partial \mathbf{M}}{\partial \mathbf{x}} \frac{T}{m} \boldsymbol{\alpha} + \boldsymbol{\lambda}^T \frac{\partial \mathbf{D}}{\partial \mathbf{x}} \right)$ **Solved by *cminpack* solver**

Optimal thrust direction $\boldsymbol{\alpha}^* = -\frac{(\boldsymbol{\lambda}^T \mathbf{M})^T}{\|\boldsymbol{\lambda}^T \mathbf{M}\|}$

$L(t_f)$ free



$$\begin{cases} p(t_f) - p_f = 0 \\ f(t_f) - f_f = 0 \\ g(t_f) - g_f = 0 \\ h(t_f) - h_f = 0 \\ k(t_f) - k_f = 0 \\ \lambda_L(t_f) = 0 \\ H(t_f) + 1 = 0 \end{cases}$$

Shooting function

2 Design the Dyson Ring elements



Grid search

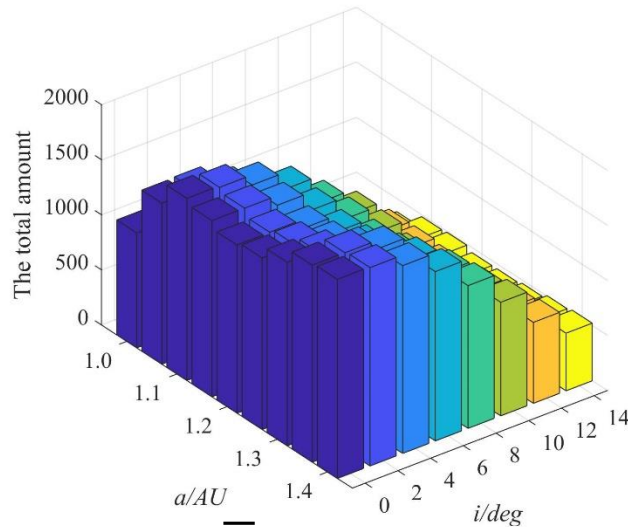
$$a = 1.0 \sim 1.4 \text{ AU}, \quad i = 0 \sim 14^\circ$$

Free phase time-optimal
problem for each asteroid

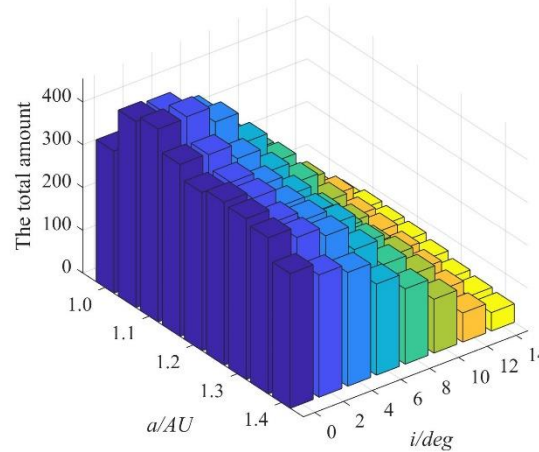


Choose a, i according to
the characteristic mass

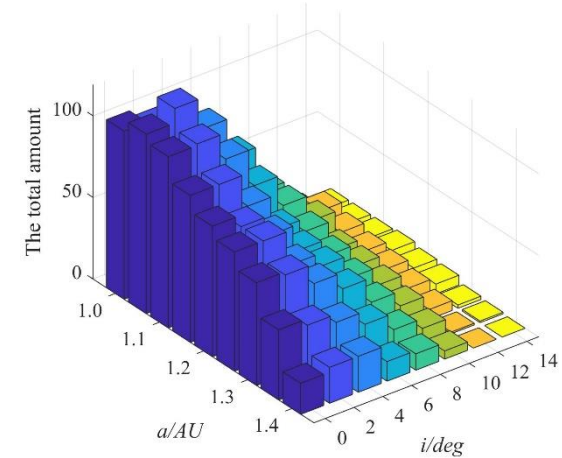
➤ **Characteristic mass:**
$$\bar{M}_f = \frac{10^{-10} \cdot M_f}{a_{\text{Dyson}}^2} = \frac{10^{-10}}{a_{\text{Dyson}}^2} (1 - \alpha \Delta t_{\min}) m_0^{\text{ast}}$$



$$\bar{M}_f \geq 4000$$



$$\bar{M}_f \geq 5000$$



$$\bar{M}_f \geq 6000$$

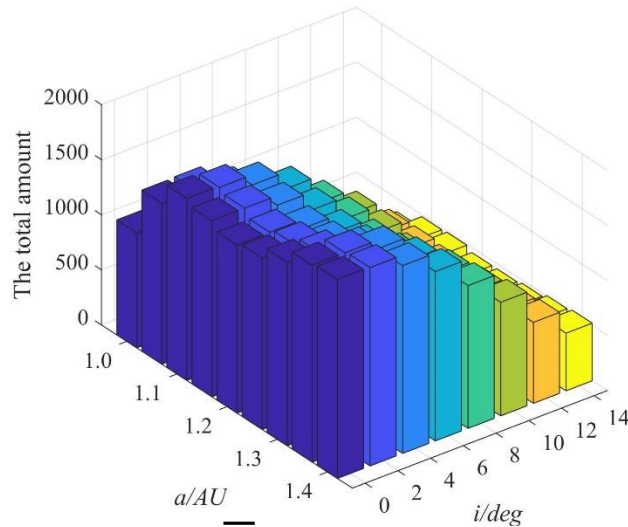
Assumption: At a suitable departure epoch, the transfer time of the asteroid to each station is near the same.

2 Design the Dyson Ring elements

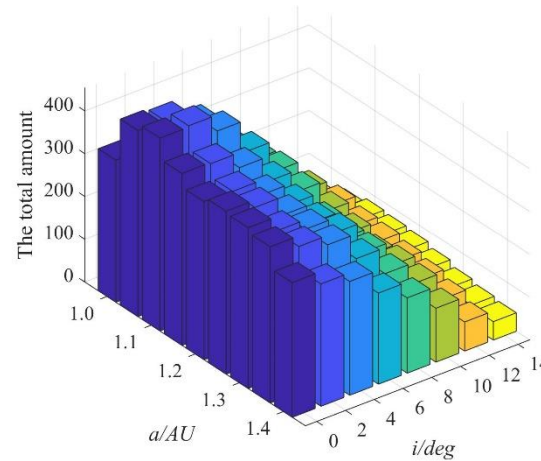


Choose a, i according to the characteristic mass

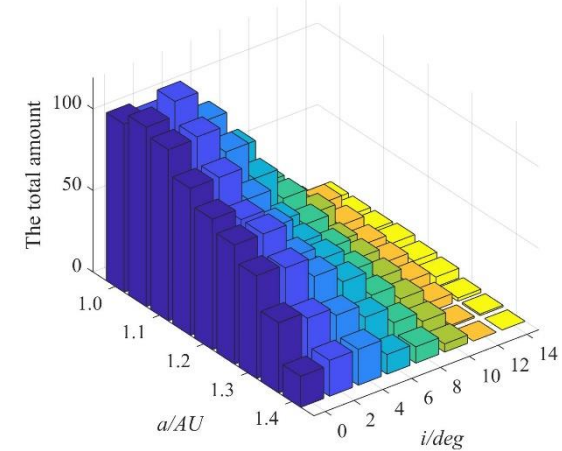
- ✓ The number of asteroids decreases as the orbital inclination increases.
- ✓ The semi-major axis of 1.1 contains more big quality asteroids.



$$\bar{M}_f \geq 4000$$



$$\bar{M}_f \geq 5000$$



$$\bar{M}_f \geq 6000$$

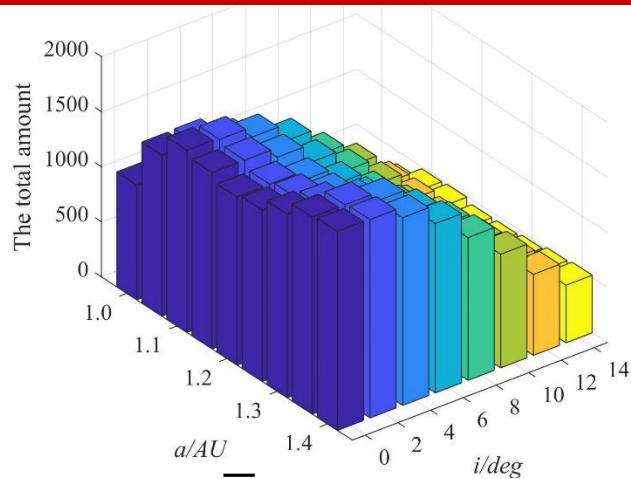
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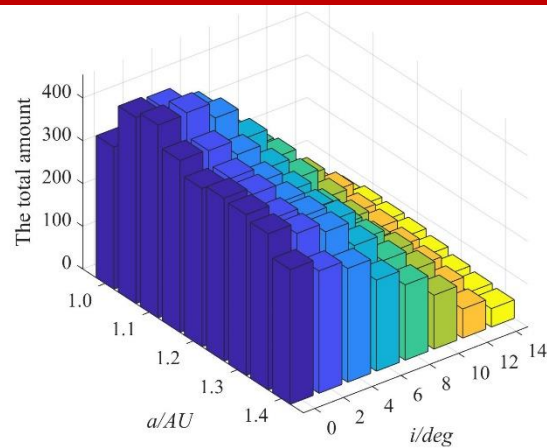


Choose a, i according to the characteristic mass

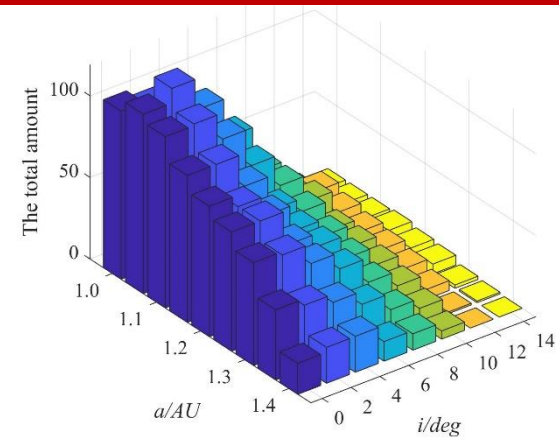
Dyson Ring elements: $a = 1.1\text{AU}, i = 0^\circ$



$$\bar{M}_f \geq 4000$$



$$\bar{M}_f \geq 5000$$



$$\bar{M}_f \geq 6000$$

Assumption: At a suitable departure epoch, the transfer time of the asteroid to each station is near the same.

3 Design the flyby sequence



Design the flyby sequence

Parallel beam search
method



Optimize mothership

ΔV

✓ The number of $\bar{M}_f \geq 4000$ is: **1685** ($a = 1.1\text{AU}$, $i = 0^\circ$)

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Design the flyby sequence

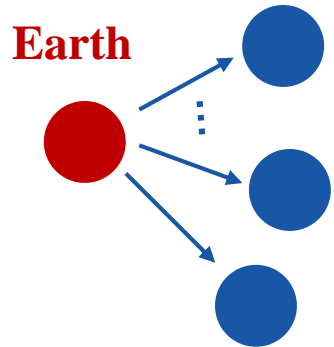
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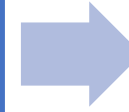


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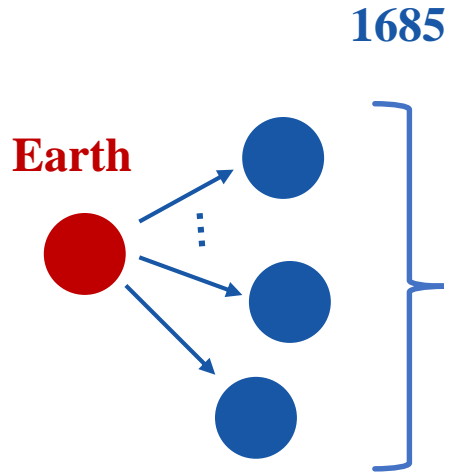
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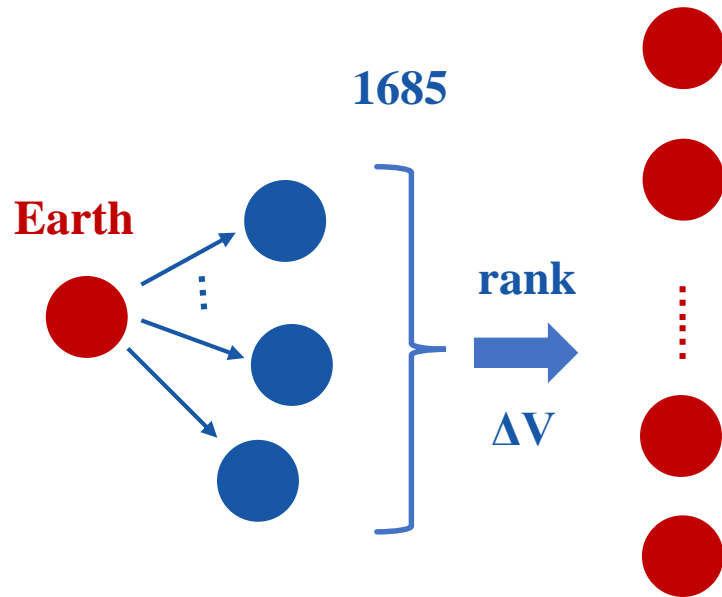
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Beam width = 50

3 Design the flyby sequence



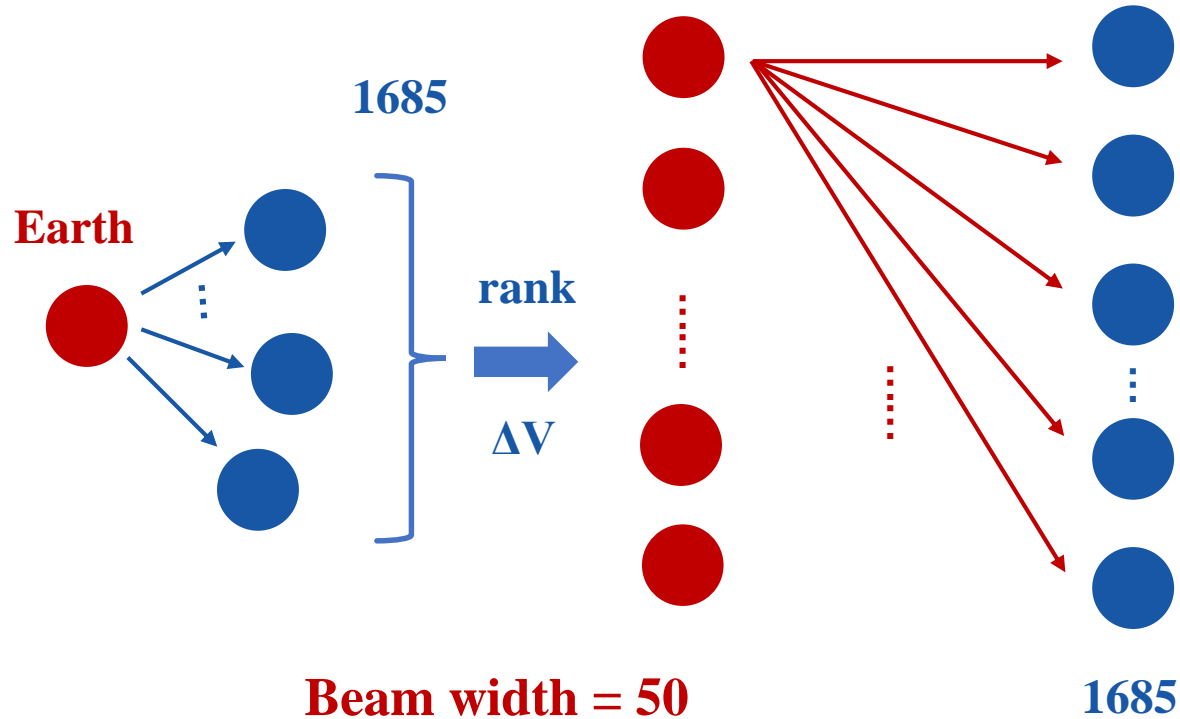
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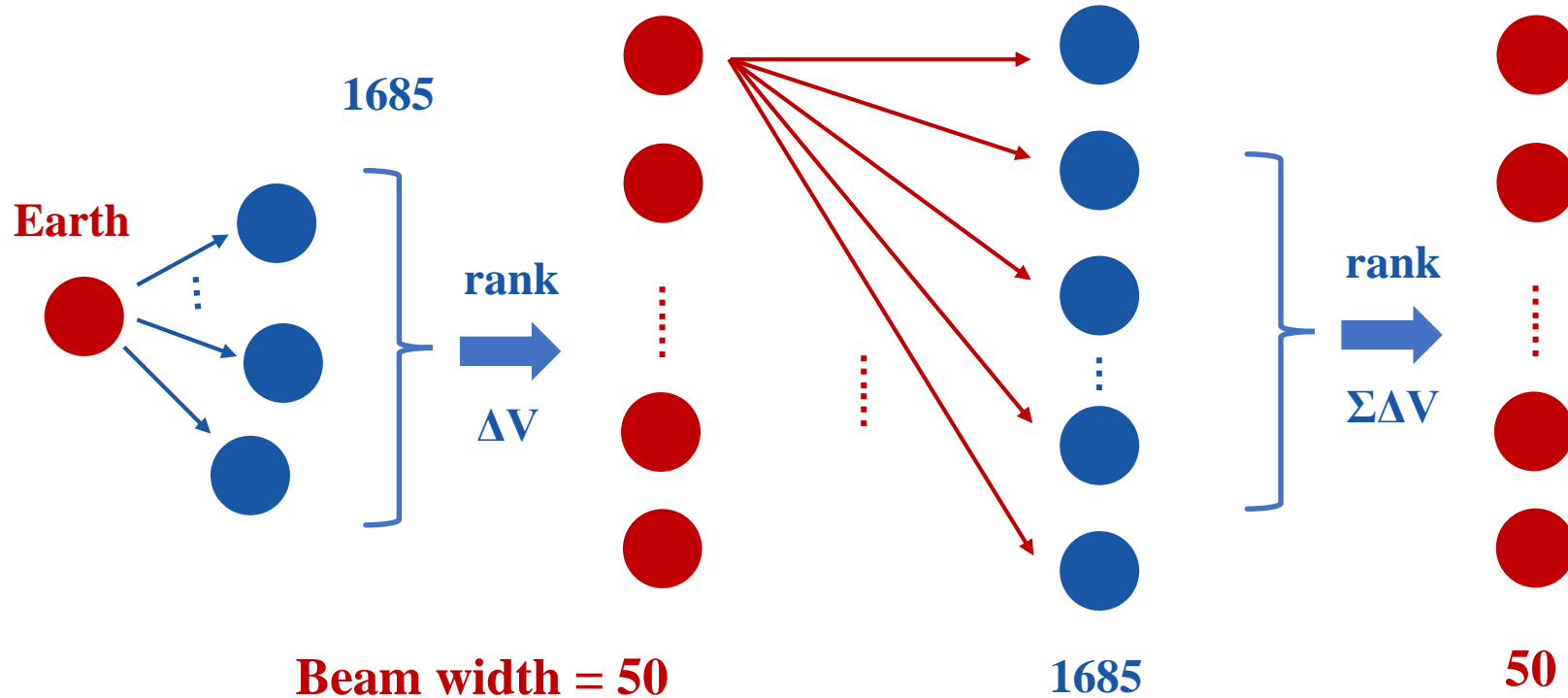
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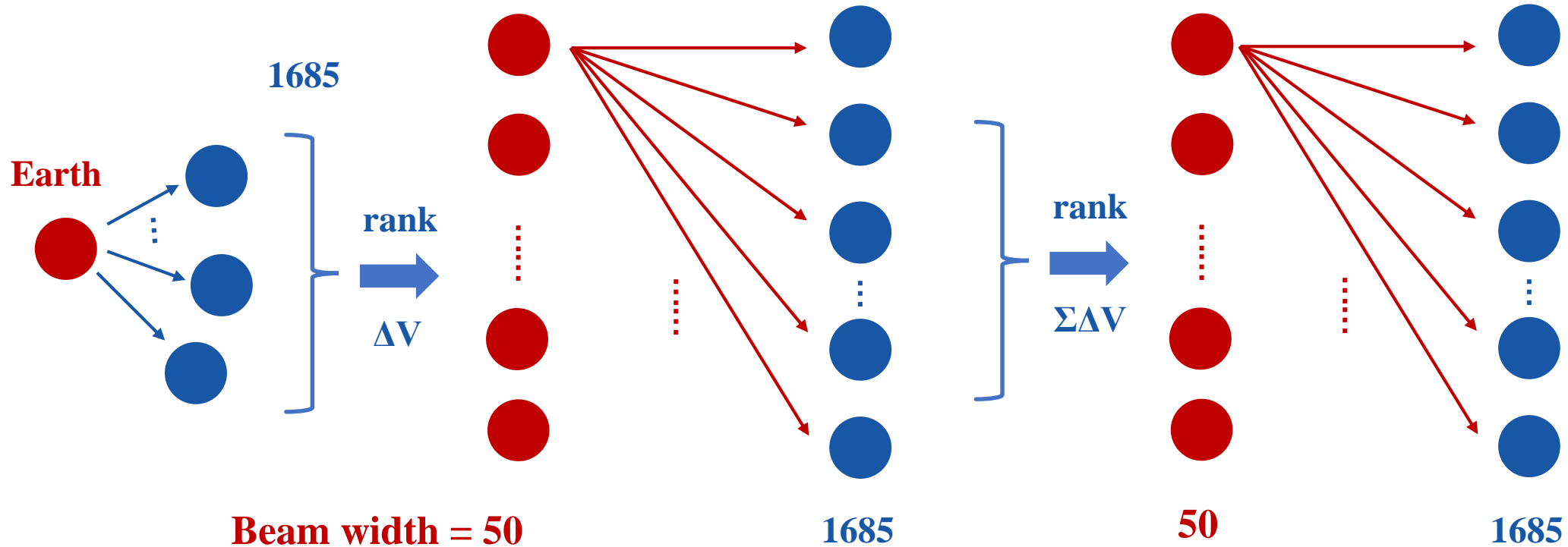
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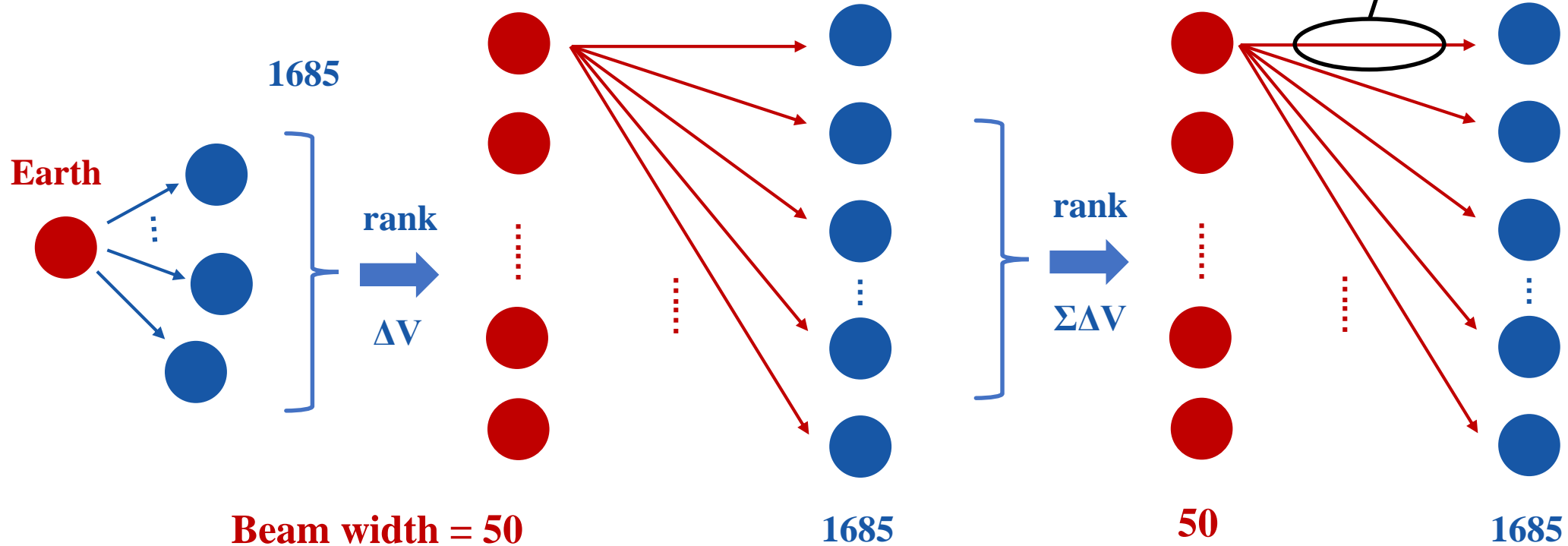
Parallel beam search
method

Optimize mothership

ΔV

✓ The number of $\bar{M}_f \geq 4000$ is: **1685** ($a = 1.1\text{AU}, i = 0^\circ$)

Transfer time = 1:0.5:300 day



3 Design the flyby sequence



Design the flyby sequence

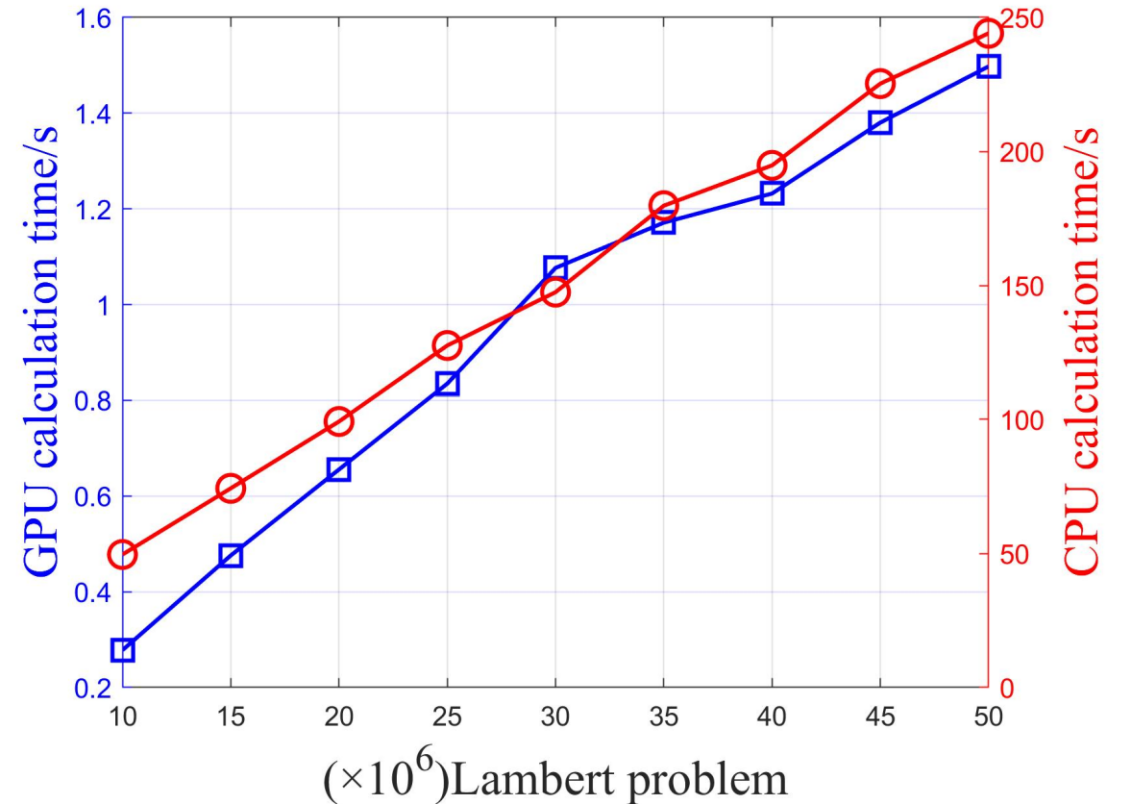
Parallel beam search
method



Optimize mothership

ΔV

- ❑ For each step, the amount of lambert problems = $50 \times 600 \times 1685 = 50,550,000$.
- ❑ Using GPU parallel computing technique.
- ❑ For each step, the GPU calculation time is less than 1.6s, while the CPU calculation time would up to 250s.



3 Design the flyby sequence



Design the flyby sequence

Parallel beam search
method

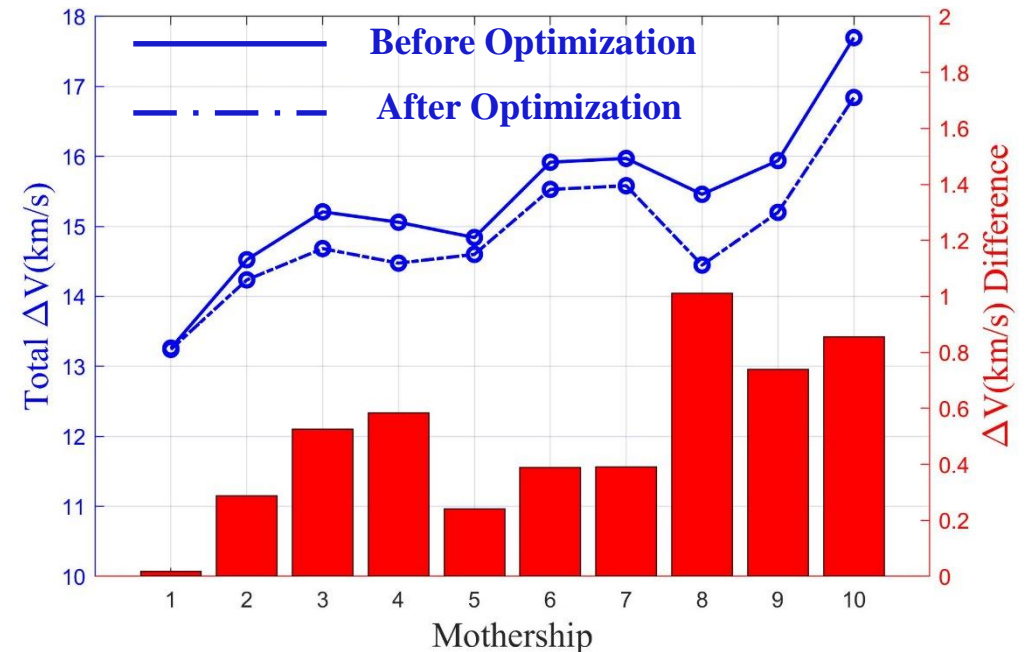
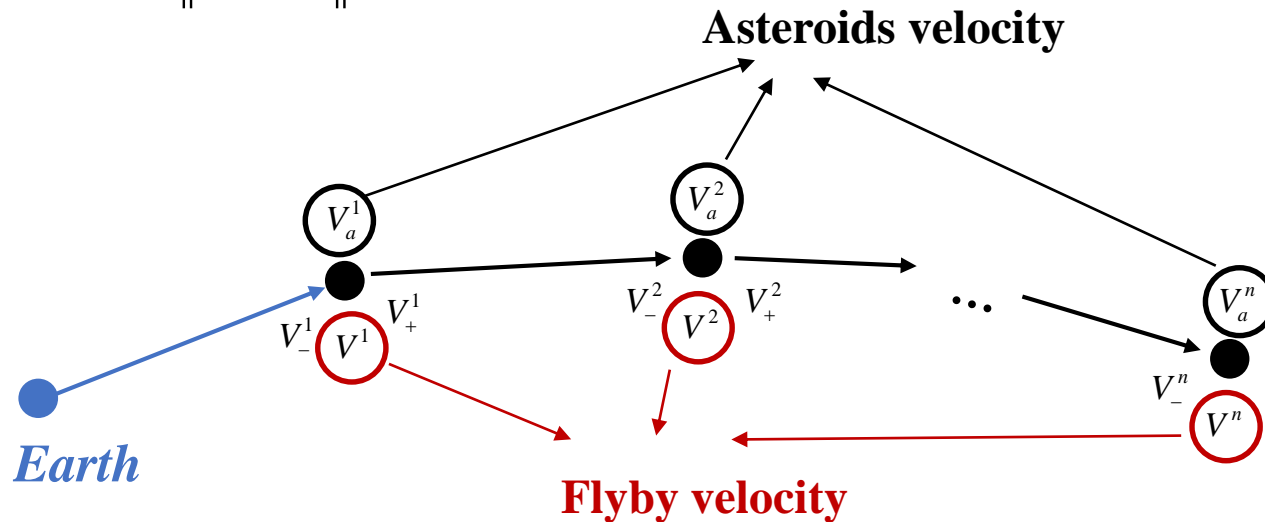
Optimize mothership

ΔV

✓ Optimize the flyby sequence total ΔV by sequential quadratic programming.

$$\min \sum_{i=1}^{n-1} (\|V^i - V_-^i\| + \|V_+^i - V^i\|) + \|V^n - V_-^n\| + \|V_+^n - V^E\|$$

$$s.t. \quad \|V^i - V_a^i\| \leq 2 \quad i = 1, 2, \dots, n$$



3 Design the flyby sequence



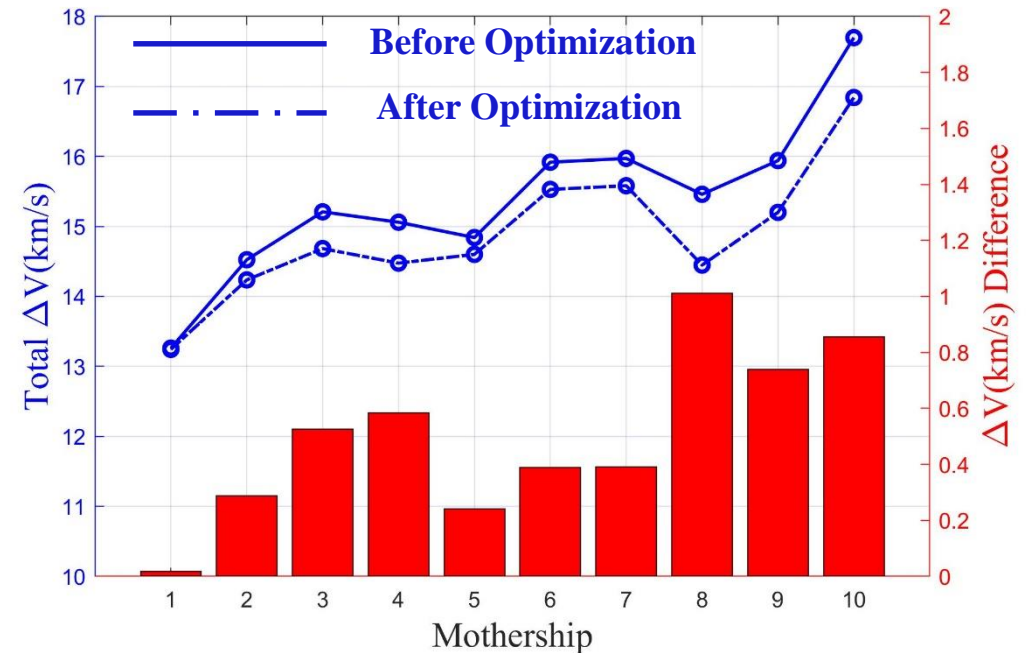
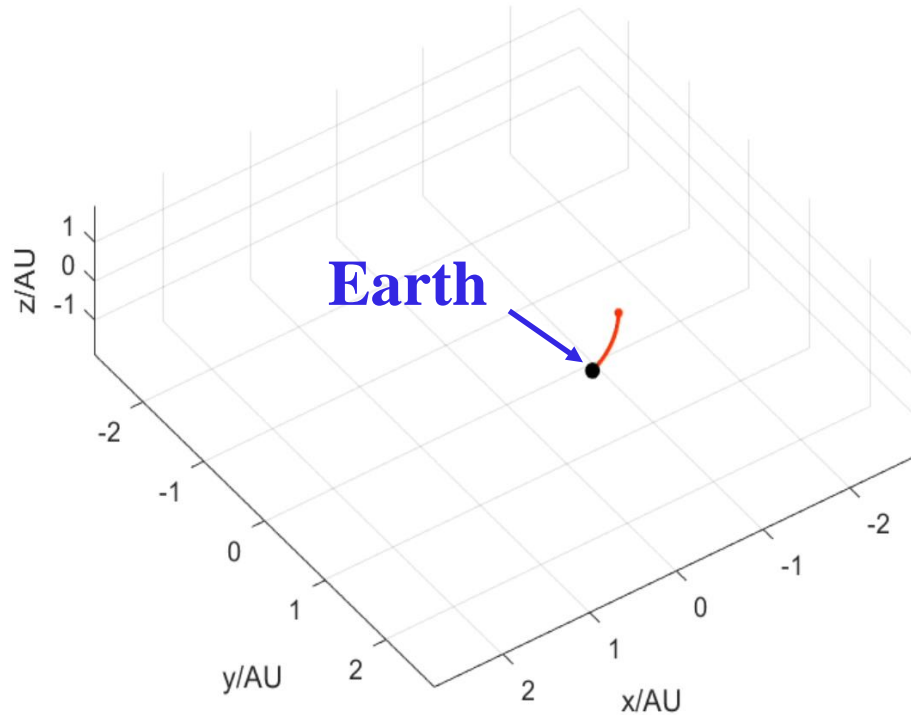
Design the flyby sequence

Parallel beam search
method

Optimize mothership

ΔV

✓ Mothership 1 flyby sequence: 22 Asteroids, $\Delta V = 13.28\text{km/s}$



4 Design the departure time



Attainable station
calculation

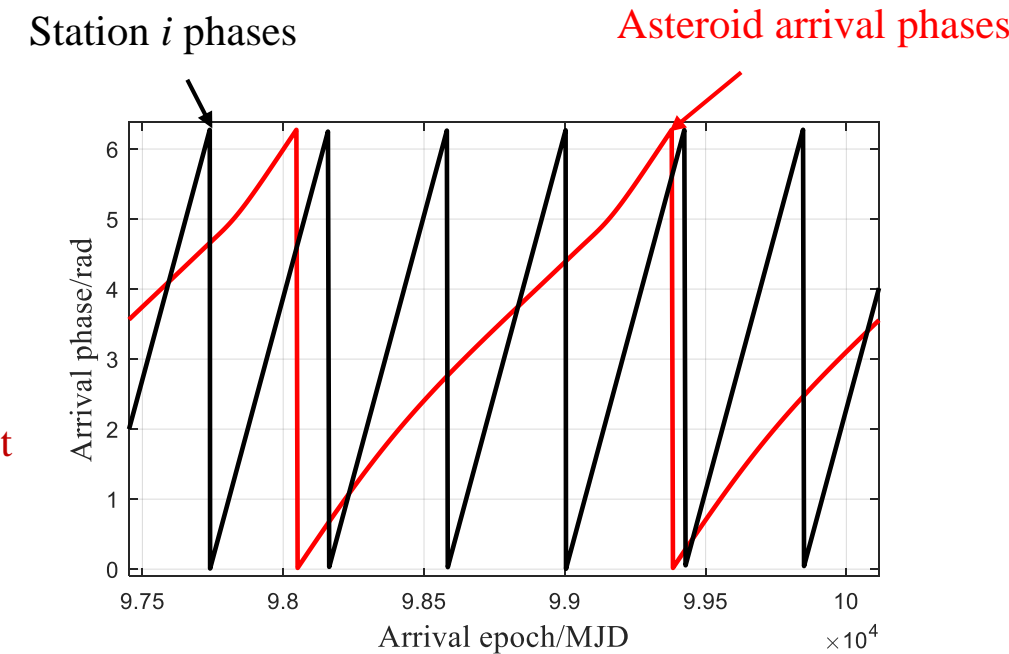
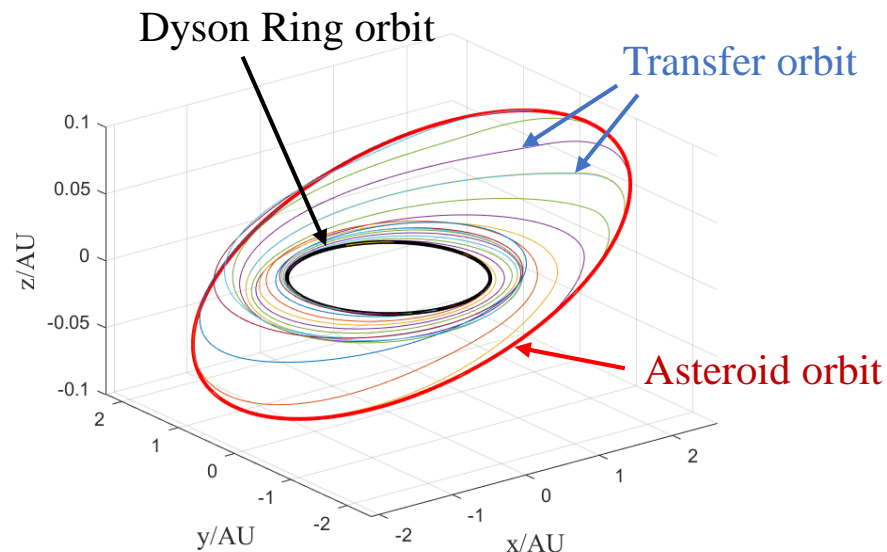


Coordinate descent
method



Particle swarm
optimization

- ✓ For each flyby asteroid, generate a database of arrival phases.
- ✓ Given the building time of station i , determine whether the asteroid can be reached by the intersection point.



4 Design the departure time



Attainable station
calculation

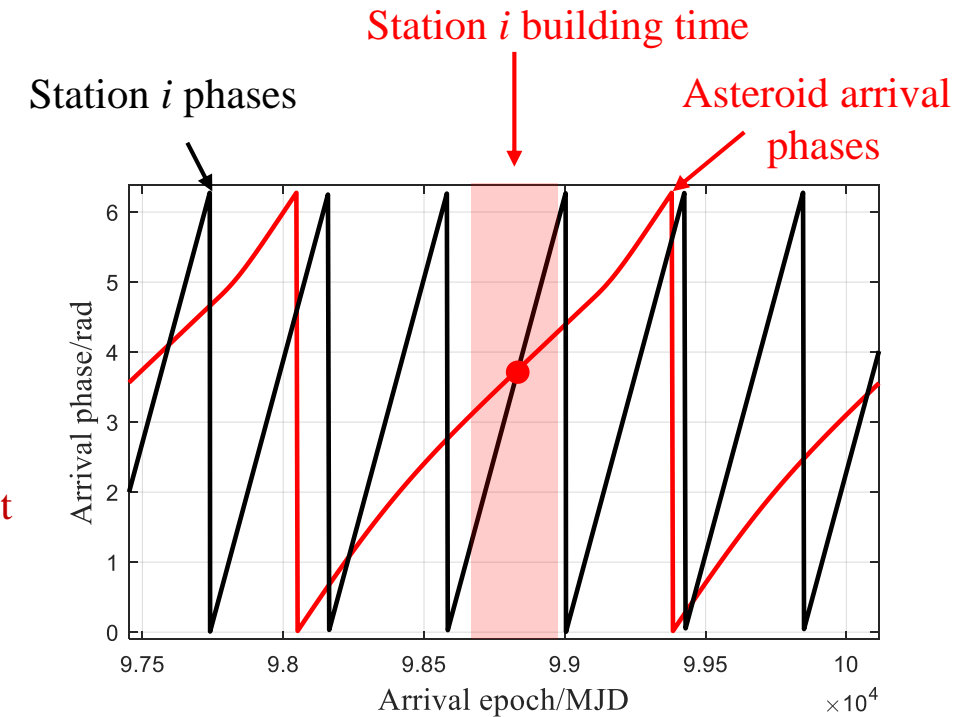
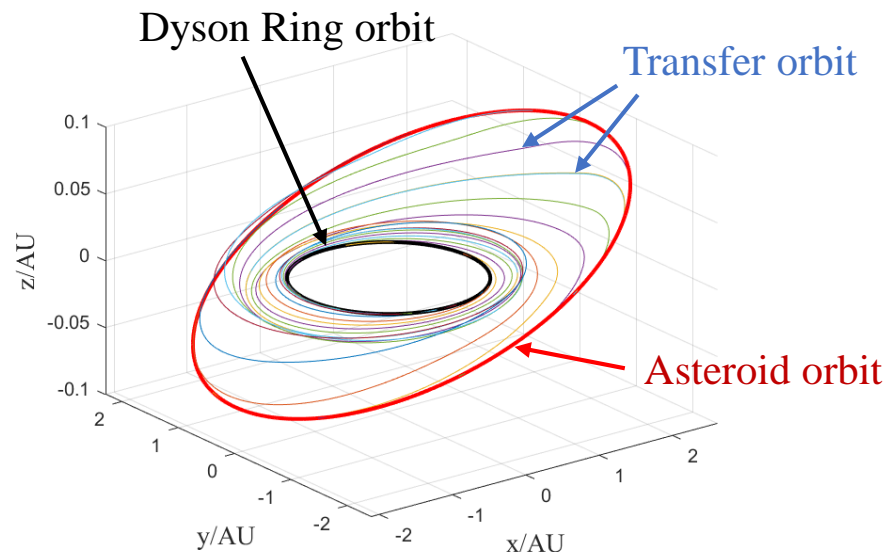


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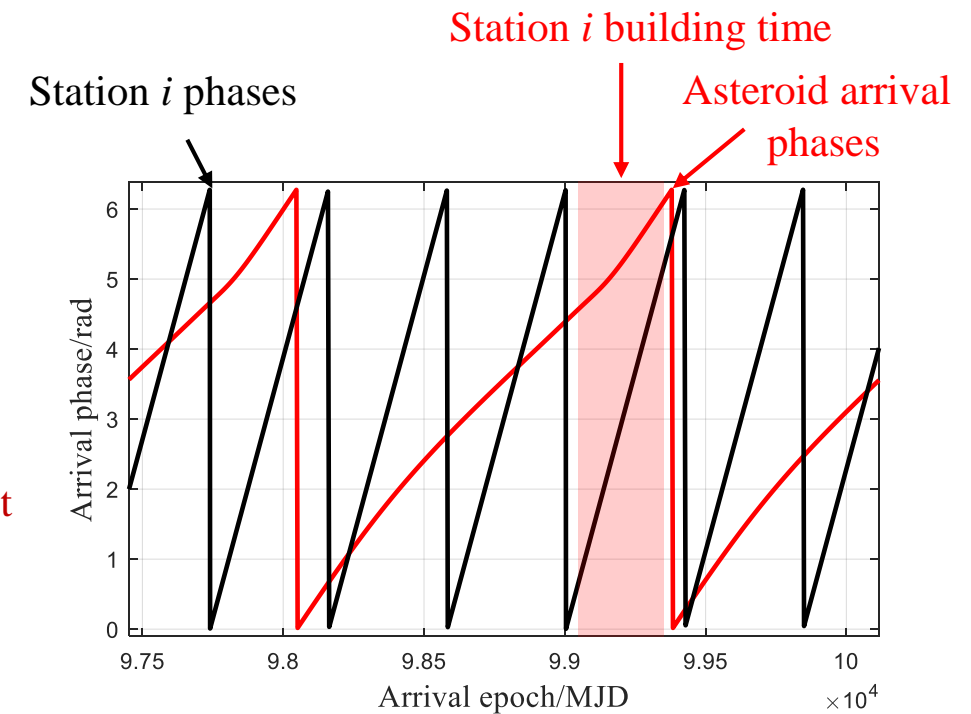
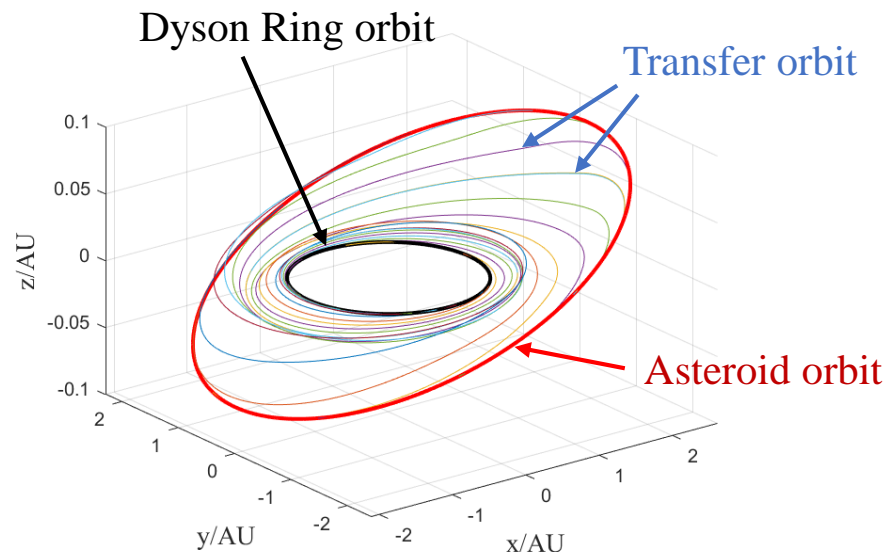


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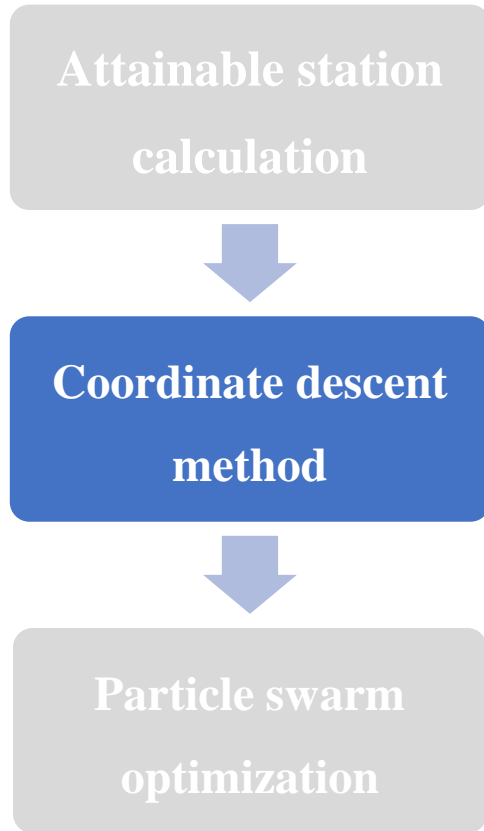


Particle swarm
optimization

- ✓ For each flyby asteroid, generate a database of arrival phases.
- ✓ Given the building time of station i , determine whether the asteroid can be reached by the intersection point.



4 Design the departure time



✓ Given the building time of station 1-12, generate station **reachability table**.

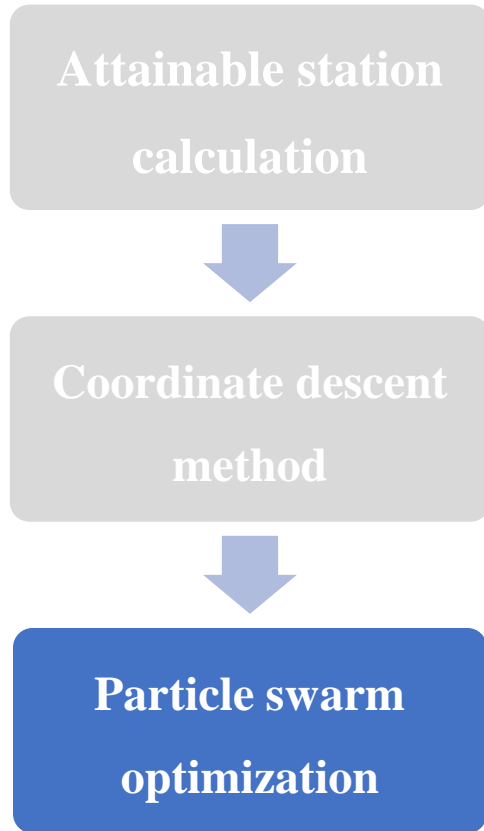
✓ Maximize the minimum column.

```
While  $\sum M_{\min}^p > \sum M_{\min}^{p-1}$   
  for  $i = 1:n$   
    for  $j = 1:12$   
      Find  $j^*$  maximizes table;  
    end  
    Assignment $[i] = j^*$ ;  
  end  
end
```

Flyby Asteroids

	Station				
	1	2	...	11	12
1	m_1^1	0	...	m_1^{11}	0
2	0	m_2^2	...	0	m_2^{12}
3	0	0	...	m_3^{11}	m_3^{12}
4	m_4^1	m_4^2	...	m_4^{11}	0
...
...
...
...
n	0	m_n^2	...	m_n^{11}	0

4 Design the departure time



- ✓ Given the building time of station 1-12, generate station **reachability table**.
- ✓ Maximize the minimum column.
- ✓ Initial phase and building time as optimization variables.

$$\varphi_1$$
$$MJD_1^0, MJD_2^f, \dots, MJD_{12}^0, MJD_{12}^f$$

Flyby Asteroids

Station					
	1	2	...	11	12
1	m_1^1	0	...	m_1^{11}	0
2	0	m_2^2	...	0	m_2^{12}
3	0	0	...	m_3^{11}	m_3^{12}
4	m_4^1	m_4^2	...	m_4^{11}	0
...
...
...
...
n	0	m_n^2	...	m_n^{11}	0

4 Design the departure time



- ✓ The particle swarm optimization is used to determine the station building time, initial phase, and the departure epoch of each flyby asteroid.
- ✓ Establish the following indirect method to determine the initial costate variables and transfer time for each asteroid.

Indirect Trajectory Optimization Using Modified Equinoctial Elements

MEE equation $\mathbf{x} = \mathbf{M} \frac{T}{m} \boldsymbol{\alpha} + \mathbf{D}$

Hamiltonian $H = \boldsymbol{\lambda}^T \left(\mathbf{M} \frac{T}{m} \boldsymbol{\alpha} + \mathbf{D} \right)$

Costate equation $\boldsymbol{\lambda} = -\frac{\partial H}{\partial \mathbf{x}} = -\left(\boldsymbol{\lambda}^T \frac{\partial \mathbf{M}}{\partial \mathbf{x}} \frac{T}{m} \boldsymbol{\alpha} + \boldsymbol{\lambda}^T \frac{\partial \mathbf{D}}{\partial \mathbf{x}} \right)$ **Solved by *cminpack* solver**

Optimal thrust direction $\boldsymbol{\alpha}^* = -\frac{(\boldsymbol{\lambda}^T \mathbf{M})^T}{\|\boldsymbol{\lambda}^T \mathbf{M}\|}$

$$L(t_f) = L_D(t_f)$$



$$\begin{cases} p(t_f) - p_f = 0 \\ f(t_f) - f_f = 0 \\ g(t_f) - g_f = 0 \\ h(t_f) - h_f = 0 \\ k(t_f) - k_f = 0 \\ L(t_f) - L_D(t_f) = 0 \\ H(t_f) + 1 = 0 \end{cases}$$

Shooting function

4 Design the departure time



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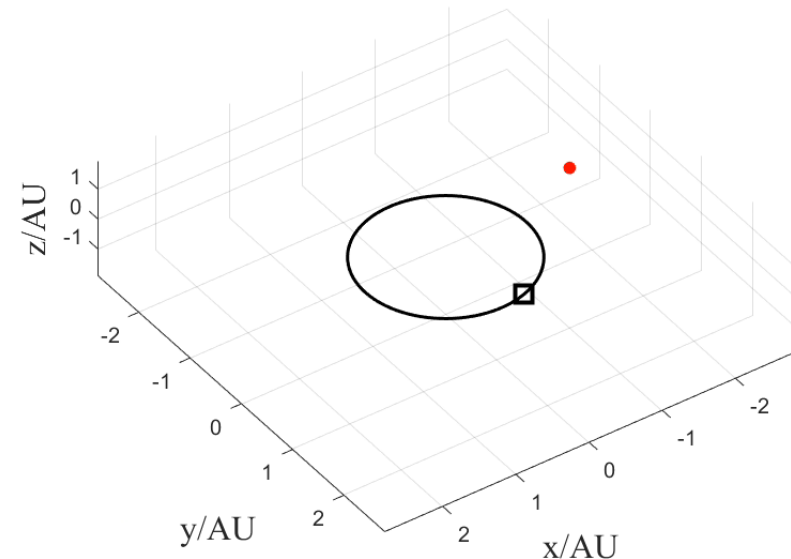
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Optimal thrust direction $\boldsymbol{\alpha}^* = -\frac{(\boldsymbol{\lambda}^T \mathbf{M})^T}{\|\boldsymbol{\lambda}^T \mathbf{M}\|}$



5 Conclusions



- ✓ Submissions Score: 3532.7 $M_{\min}: 8.003e+14$ Dyson ring $a = 1.0\text{AU}$ $i = 8^\circ$
- ✓ Latest Score: 5063.8 $M_{\min}: 1.032e+15$ Dyson ring $a = 1.1\text{AU}$ $i = 0^\circ$

Design the Dyson Ring
orbit elements

Design the mothership
flyby sequence

Design the asteroid
departure epoch

- The Dyson Ring orbital elements were not optimized in the submitted version due to the large computational load.
- The use of the optimized Dyson Ring orbital elements yields a much smaller ΔV of each mothership, i.e., the average ΔV is around 26km/s in the submitted version, while it can be reduced to 16km/s after applying the optimized orbital elements.

5 Conclusions



Further improvement and optimization:

- The departure epoch of each mothership is the same in current design, and the departure epoch of motherships should be optimized.
- The stations are built from 1 to 12 by increasing the phase angle of 30 degree, the order of station building needs to be optimized.
- The multi-impulse transfers local optimization problem will be solved by deep neural networks estimation in the future study.



Thanks